Thomas R. DeBerry

STIPULATION FOR CONSENT ORDER

Date: November 6, 2006

Respondent: Thomas R. DeBerry

Allegations: Respondent failed to timely update his U-4 disclosure to reflect administrative

action taken against his him by the Kansas State Board of Accountancy.

Stipulation: Respondent stipulated and agreed, without admitting or denying the allegations, to enter into a Consent Order without further proceedings. It was further stipulated and agreed that respondent be Censured.

CONSENT ORDER

Date: November 6, 2006

Respondent: Thomas R. DeBerry

Order: Based on the allegations of fact and law set forth in the Stipulation for Consent Order, the Securities Commissioner ordered respondent be Censured.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the North American Securities Administrators Association website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.